

<b>General information about company</b>	
Scrip code	544136
NSE Symbol	RKSWAMY
MSEI Symbol	NOTLISTED
ISIN	INE0NQ801033
Name of the entity	R K SWAMY LIMITED
Date of start of financial year	01-04-2024
Date of end of financial year	31-03-2025
Reporting Quarter	Half Yearly
Date of Report	30-09-2024
Risk management committee	Applicable
Market Capitalisation as per immediate previous Financial Year	Any other

Annexure I								
Annexure I to be submitted by listed entity on quarterly basis								
I. Composition of Board of Directors								
Disclosure of notes on composition of board of directors explanatory							Textual Information(1)	
Whether the listed entity has a Regular Chairperson							Yes	
Whether Chairperson is related to MD or CEO							Yes	
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth
1	Mr	Srinivasan K Swamy	APDPS0053F	00505093	Executive Director	Chairperson related to Promoter		15-07-1954
2	Mr	Narasimhan Krishnaswamy	ABSPN3560F	00219883	Executive Director	Not Applicable	CEO-MD	28-05-1957
3	Mrs	Sangeetha Narasimhan	AAPPS6538L	07050848	Executive Director	Not Applicable	CEO	19-05-1962
4	Mr	Pattabhi Kothandapani Raman	BXWPR8600K	08319696	Non-Executive - Nominee Director	Not Applicable		04-09-1950
5	Mrs	Nalini Padmanabhan	ACAPN3833C	01565909	Non-Executive - Independent Director	Not Applicable		20-07-1964
6	Mr	Rajiv Vastupal Mehta	ABGPM6306E	00647906	Non-Executive - Independent Director	Not Applicable		28-10-1957
7	Mr	Thiruvallur Thattai Srinivasaraghavan	AAGPS0089M	00018247	Non-Executive - Independent Director	Not Applicable		19-01-1955
8	Mr	Sunil Sethy	ABTPS5430L	00244104	Non-Executive - Independent Director	Not Applicable		27-03-1951

<b>I. Composition of Board of Directors</b>					
<b>Disqualification of Directors under section 164 of the Companies Act, 2013</b>					
Sr	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status
1	No				Active
2	No				Active
3	No				Active
4	No				Active
5	No				Active
6	No				Active
7	No				Active
8	No				Active

I. Composition of Board of Directors													
Sr	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity [with reference to proviso to regulation 17A(1) & 17A(2)]	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Reason for Cessation	Notes for not providing PAN	Notes for not providing DIN
1	NA		29-04-1982				1	0	2	0			
2	NA		30-06-2001				1	0	0	0			
3	NA		29-09-2015				1	0	1	0			
4	NA		06-06-2023				1	0	0	0			
5	NA		03-07-2023	03-07-2023		14.96	2	2	3	3			
6	NA		03-07-2023	03-07-2023		14.96	1	1	0	0			
7	NA		03-07-2023	03-07-2023		14.96	3	2	3	0			
8	NA		03-07-2023	03-07-2023		14.96	1	1	1	1			

<b>Text Block</b>	
Textual Information(1)	<p>Shareholders approved the following designation changes via postal ballot on July 11, 2024, effective July 1, 2024:</p> <ol style="list-style-type: none"><li>1. Mr. Srinivasan K Swamy (DIN: 00505093) from Chairman &amp; Managing Director to Executive Group Chairman.</li><li>2. Mr. Narasimhan K Swamy (DIN: 00219883) from Whole-time Director &amp; Group CEO to Managing Director &amp; Group CEO.</li></ol> <p>Additionally, the Board on May 24, 2024, designated Mrs. Sangeetha as CEO, alongside her role as Whole-time Director.</p>

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	01565909	Nalini Padmanabhan	Non-Executive - Independent Director	Chairperson	21-07-2023		
2	00018247	Thiruvallur Thattai Srinivasaraghavan	Non-Executive - Independent Director	Member	21-07-2023		
3	00505093	Srinivasan K Swamy	Executive Director	Member	21-07-2023		

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00647906	Rajiv Vastupal Mehta	Non-Executive - Independent Director	Chairperson	21-07-2023		
2	00018247	Thiruvallur Thattai Srinivasaraghavan	Non-Executive - Independent Director	Member	21-07-2023		
3	00244104	Sunil Sethy	Non-Executive - Independent Director	Member	21-07-2023		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00244104	Sunil Sethy	Non-Executive - Independent Director	Chairperson	21-07-2023		
2	00505093	Srinivasan K Swamy	Executive Director	Member	21-07-2023		
3	07050848	Sangeetha Narasimhan	Executive Director	Member	21-07-2023		



Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00244104	Sunil Sethy	Non-Executive - Independent Director	Chairperson	21-07-2023		
2	07050848	Sangeetha Narasimhan	Executive Director	Member	21-07-2023		
3	99999999	Rajeev Newar	Group CFO	Member	21-07-2023		Textual Information(1)

<b>Sr Text Block</b>	
Textual Information(1)	Mr. Rajeev Newar is non Board Committee member therefore the dummy PAN number is mentioned.

<b>Corporate Social Responsibility Committee</b>							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00505093	Srinivasan K Swamy	Executive Director	Chairperson	16-05-2022		
2	00219883	Narasimhan Krishnaswamy	Executive Director	Member	16-05-2022		
3	01565909	Nalini Padmanabhan	Non-Executive - Independent Director	Member	21-07-2023		

<b>Other Committee</b>						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

Annexure 1								
Annexure 1								
III. Meeting of Board of Directors								
Disclosure of notes on meeting of board of directors explanatory								
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	24-05-2024				Yes	8	8	4
2		08-08-2024	75		Yes	8	8	4

Annexure 1										
IV. Meeting of Committees										
Disclosure of notes on meeting of committees explanatory										
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
1	Audit Committee	23-05-2024				Yes	3	3	2	0
2	Audit Committee	07-08-2024	75			Yes	3	3	2	0
3	Nomination and remuneration committee	24-05-2024				Yes	3	3	3	0
4	Stakeholders Relationship Committee	24-05-2024				Yes	3	3	1	0
5	Corporate Social Responsibility Committee	23-05-2024				Yes	3	3	1	0
6	Risk Management Committee	13-05-2024				Yes	3	2	1	1

<b>Annexure 1</b>			
<b>V. Related Party Transactions</b>			
Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

<b>Annexure 1</b>		
<b>VI. Affirmations</b>		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes



<b>Annexure 1</b>		
Sr	Subject	Compliance status
1	Name of signatory	Aparna Bhat
2	Designation	Compliance Officer

<b>Annexure III</b>				
<b>III. Affirmations</b>				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
2	Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
3	Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	No	Chairperson had sought leave of absence due to unavoidable reasons and nominated another committee member to be present to answer queries if any raised by the shareholder at the AGM
4	Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
5	Disclosure of the Secretarial Audit Report of the listed entity and the material subsidiaries in the Annual Report	24A(1)	Yes	
6	Submission of Annual Secretarial Compliance Report	24A(2)	Yes	
7	Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	
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<b>Annexure III</b>		
1	Name of signatory	Aparna Bhat
2	Designation	Compliance Officer

<b>Additional Half yearly Disclosure</b>		
Applicability of disclosure	Not Applicable	
Reason for Non Applicability	Textual Information(1)	

<b>Text Block</b>	
Textual Information(1)	There were no transactions during the half year ended September 30, 2024 which falls under the "Annexure IV"

<b>Details of Cyber security incidence</b>		
Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter		No
Number of cyber security incidence or breaches or loss of data event occurred during the quarter		
Sr.	Date of the event	Brief details of the event

<b>Signatory Details</b>	
Name of signatory	Aparna Bhat
Designation of person	Compliance Officer
Place	Mumbai
Date	18-10-2024